

**Every context-free grammar over a terminal alphabet of cardinality 1 generates a regular language.**

Let us consider a context-free grammar  $G$  which, without loss of generality, does not have  $\varepsilon$ -productions besides, possibly, the production  $S \rightarrow \varepsilon$ .

We want to show that if the terminal alphabet of  $G$  is a singleton, then the language  $L(G)$  generated by the grammar  $G$  is a regular language.

Given a word  $w$ , by  $|w|$  we will denote the length of  $w$ .

Let us first recall the Pumping Lemma for context-free languages.

**LEMMA 1. [Pumping Lemma]** *Given a context-free grammar  $G$  with terminal alphabet  $\Sigma$ ,  $\exists n > 0$  such that  $\forall z \in L(G)$ , if  $|z| \geq n$  then  $\exists u, v, w, x, y \in \Sigma^*$ , such that*

- (1)  $z = uvwxy$ ,
- (2)  $vx \neq \varepsilon$ ,
- (3)  $|vwx| \leq n$ , and
- (4)  $\forall i \geq 0, uv^iwx^iy \in L(G)$ .

Let us assume that the terminal alphabet of  $G$  is the set  $\Sigma = \{a\}$  with cardinality 1. Since  $\Sigma$  has cardinality 1, commutativity holds, that is,  $\forall u, v \in \Sigma^*, uv = vu$ .

The following lemma easily follows from Lemma 1.

**LEMMA 2. [Pumping Lemma for a Terminal Alphabet of Cardinality 1]** *Given a context-free grammar  $G$  with a terminal alphabet  $\Sigma$  of cardinality 1,  $\exists n > 0$  such that  $\forall z \in L(G)$ , if  $|z| \geq n$  then  $\exists p \geq 0, \exists q$ , such that*

- (1.1)  $|z| = p + q$ ,
- (2.1)  $q > 0$ ,
- (3.1)  $\exists m$ , with  $0 \leq m \leq p$ , such that  $0 < m + q \leq n$ , and
- (4.1)  $\forall s \in \Sigma^*, \forall i \geq 0$ , if  $|s| = p + iq$  then  $s \in L(G)$ .

**PROOF.** The final part of the statement of Lemma 1 can be rewritten as follows. By commutativity, we can absorb  $vx$  into  $v$  (note that  $v$  and  $x$  are both existentially quantified) and we get:

- ...  $\exists u, v, w, y \in \Sigma^*$ , such that
- $z = uvwy$ ,
  - $v \neq \varepsilon$ ,
  - $|vw| \leq n$ , and
  - $\forall i \geq 0, uv^iwy \in L(G)$ .

By commutativity, we can absorb  $uy$  into  $u$  (note that  $u$  and  $y$  are both existentially quantified) and we get:

- ...  $\exists u, v, w \in \Sigma^*$ , such that
- $z = uvw$ ,
  - $v \neq \varepsilon$ ,
  - $|vw| \leq n$ , and
  - $\forall i \geq 0, uv^iw \in L(G)$ .

By commutativity we can place the  $v$ 's after  $w$ , and we get:

- ...  $\exists u, v, w \in \Sigma^*$ , such that
- $z = uwwv$ ,
  - $v \neq \varepsilon$ ,
  - $|wv| \leq n$ , and
  - $\forall i \geq 0, uwwv^i \in L(G)$ .

Let  $p$  denote  $|uw|$  and  $q$  denote  $|v|$ . By taking the lengths of the words, which are non-negative integers, we get:

- ...  $\exists p \geq 0, \exists q \geq 0, \exists w \in \Sigma^*$ , such that
- (1.1)  $|z| = p + q$ ,
  - (2.1)  $q > 0$ ,
  - (3\*)  $|w| + q \leq n$ , and
  - (4.1)  $\forall s \in \Sigma^*, \forall i \geq 0$ , if  $|s| = p + iq$  then  $s \in L(G)$ .

By Condition (2.1) we can write  $\exists q$ , instead of  $\exists q \geq 0$ . Let  $m$  denote  $|w|$ . Since  $p = |uw|$ , we have that  $m \leq p$ , and since  $q > 0$ , we can write  $0 < m + q \leq n$ , instead of  $|w| + q \leq n$ .

We get:

- ...  $\exists p \geq 0, \exists q$ , such that
- (1.1)  $|z| = p + q$ ,
  - (2.1)  $q > 0$ ,
  - (3.1)  $\exists m$ , with  $0 \leq m \leq p$ , such that  $0 < m + q \leq n$ , and
  - (4.1)  $\forall s \in \Sigma^*, \forall i \geq 0$ , if  $|s| = p + iq$  then  $s \in L(G)$ . □

By Condition (3.1) of Lemma 2, we can replace Condition (2.1) of Lemma 2 by the stronger condition:  $0 < q \leq n$ .

Let  $n$  denote the number whose existence is asserted by Lemma 2. Let us consider the following two languages subsets of  $L(G)$ :

- (i)  $L_{<n} = \{w \in L(G) \mid |w| < n\}$  and
- (ii)  $L_{\geq n} = \{w \in L(G) \mid |w| \geq n\}$ .

Obviously, we have that  $L(G) = L_{<n} \cup L_{\geq n}$ . Since  $L_{<n}$  is finite,  $L_{<n}$  is a regular language.

Thus, in order to show that  $L(G)$  is a regular language it is enough to show, as we now do, that also  $L_{\geq n}$  is a regular language.

Given any word  $z \in L_{\geq n}$ , we have that by Lemma 2, there exist  $p_0 \geq 0$  and  $q_0 > 0$  such that  $z = a^{p_0} + q_0$  and  $a^{p_0} \in L(G)$  (take  $i=0$ ).

Since  $q_0 > 0$  we have that  $p_0 < |z|$ . Now, if  $p_0 \geq n$ , starting from  $a^{p_0}$ , instead of  $z$ , we get that there exist  $p_1 \geq 0$  and  $q_1 > 0$  such that  $a^{p_0} = a^{p_1} + q_1$ , and thus,

$$z = a^{(p_1 + q_1)} + q_0.$$

In general, there exist  $p_0, q_0, p_1, q_1, p_2, q_2, \dots, p_h, q_h$ , and  $h \geq 0$ , such that:

$$\begin{aligned} z &= a^{p_0} + q_0 = \\ &= a^{(p_1 + q_1)} + q_0 = \\ &= a^{(p_2 + q_2)} + q_1 + q_0 = \\ &= \dots = \\ &= a^{(p_h + q_h)} + q_{h-1} + \dots + q_2 + q_1 + q_0 \end{aligned} \quad (\dagger)$$

where: (C1)  $p_h < n$ , and (C2) for all  $i$ , with  $0 \leq i < h$ , we have that  $p_i \geq n$ . (Note that, when writing the expression  $(\dagger)$ , we do *not* assume that all the  $q_i$ 's are distinct.)

Since for all  $i$ , with  $0 \leq i \leq h$ , we have that  $q_i > 0$ , it is the case that for any  $z \in L_{\geq n}$ , we can always construct an expression of the form  $(\dagger)$  satisfying (C1) and (C2).

Thus, by writing  $i q$  instead of  $\overbrace{q + \dots + q}^{i \text{ times}}$ , we have that every word  $z \in L_{\geq n}$  is of the form:

$$a^{p_h + i_0 q_0 + \dots + i_k q_k}$$

for some  $k, p_h, i_0, \dots, i_k, q_0, \dots, q_k$  such that:

$$(\ell 0) \ 0 \leq k,$$

$$(\ell 1) \ 0 \leq p_h < n,$$

$$(\ell 2) \ i_0 > 0, \dots, i_k > 0,$$

$$(\ell 3) \ 0 < q_0 \leq n, \dots, 0 < q_k \leq n, \text{ and}$$

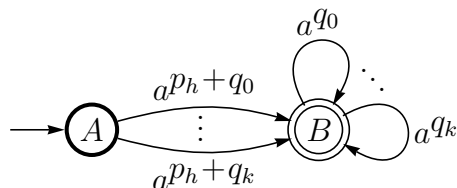
$$(\ell 4) \ \text{the values of } q_0, \dots, q_k \text{ are all distinct integers and since there are at most } n \text{ distinct integers } r \text{ such that } 0 < r \leq n, \text{ we have that } k < n.$$

Thus, the language  $L_{\geq n}$ , is the union of languages of the form:

$$L_{\langle p_h, q_0, \dots, q_k \rangle} = \{a^{p_h + i_0 q_0 + \dots + i_k q_k} \mid 0 \leq k \leq n, 0 \leq p_h < n, i_0 > 0, \dots, i_k > 0, \\ 0 < q_0 \leq n, \dots, 0 < q_k \leq n\} \cap (\{a\}^* - L_{< n})$$

Note that  $L_{\geq n}$  is a *finite* union of such languages, because there exists only a finite number of tuples of the form  $\langle p_h, q_0, \dots, q_k \rangle$  such that  $(\ell 0)$ ,  $(\ell 1)$ ,  $(\ell 3)$ , and  $(\ell 4)$  hold.

Note also that for any tuple of the form  $\langle p_h, q_0, \dots, q_k \rangle$  such that  $(\ell 0)$ ,  $(\ell 1)$ ,  $(\ell 3)$ , and  $(\ell 4)$  hold, we have that  $L_{\langle p_h, q_0, \dots, q_k \rangle}$  is a regular language. Indeed, the finite automaton which recognizes  $L_{\langle p_h, q_0, \dots, q_k \rangle}$  is as follows:



By recalling that the class of regular languages is closed under finite union, finite intersection, and complementation, we get that  $L_{\geq n}$  is a regular language.

This concludes the proof that every context-free grammar  $G$  over a terminal alphabet of cardinality 1 generates a regular language.

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Note that the proof we have given, *does not* require Parikh's Lemma.

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