

# Verification of Array Manipulating Programs: A Transformational Approach

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# Outline

- Proving partial correctness of imperative programs by transforming constraint logic programs (or CLP programs).
- Encoding partial correctness of array manipulating programs into CLP.
- Generating programming language independent verification conditions.
- Checking the satisfiability of verification conditions via CLP transformations.
- Constraint manipulation in the theory of arrays.
- Experimental evaluation.

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Proving partial correctness of imperative  
programs through transformation of CLP

# Proving Partial Correctness of Imperative Programs

Consider a **program** and a **partial correctness triple**:

```
prog: while(x < n) {  
    x = x + 1;  
    y = y + 2;  
}
```

$$\{x=0 \wedge y=0 \wedge n \geq 1\} \text{ prog } \{y > x\}$$

(A) Generate the **Verification Conditions** (VC's)

1.  $x=0 \wedge y=0 \wedge n \geq 1 \rightarrow P(x,y,n)$  Initialization
2.  $P(x,y,n) \wedge x < n \rightarrow P(x+1,y+2,n)$  Loop
3.  $P(x,y,n) \wedge x \geq n \wedge y \leq x \rightarrow \text{False}$  Exit

(B) If the VC's are **satisfiable** (i.e., there is an interpretation for P that makes 1,2,3 true), then the **partial correctness triple** holds.

# Automatic Proofs of Satisfiability of VC's

Various methods (incomplete list):

- Verification of safety of infinite state systems in Constraint Logic Programming (CLP) [Delzanno-Podelski]
- CounterExample Guided Abstraction Refinement (CEGAR), Interpolation, Satisfiability Modulo Theories [Podelski-Rybalchenko, Bjørner, McMillan, Alberti et al.]
- Symbolic execution of Constraint Logic Programs [Jaffar et at.]
- Static Analysis and Transformation of Constraint Logic Programs [Gallagher et al., Albert et al., De Angelis et al.]

# The CLP Transformation Method

(A) Generate the VC's as a CLP program **from the partial correctness triple and the formal definition of the semantics:**

- $V: 1^*. p(X, Y, N) :- X = 0, Y = 0, N \geq 1.$  (a constrained fact)
- $2^*. p(X1, Y1, N) :- X < N, X1 = X + 1, Y1 = Y + 2, p(X, Y, N).$
- $3^*. \text{incorrect} :- X \geq N, Y \leq X, p(X, Y, N).$

THM: The VC's are **satisfiable** iff **incorrect**  $\notin M(V)$ .

(B) Apply transformation rules that **preserve the least model**  $M(V)$ .

- $V': 4. q(X1, Y1, N) :- X < N, X > Y, Y \geq 0, X1 = X + 1, Y1 = Y + 2, q(X, Y, N).$
- $5. \text{incorrect} :- X \geq N, Y \leq X, Y \geq 0, N \geq 1, q(X, Y, N).$

least model preserved:  $\text{incorrect} \notin M(V)$  iff  $\text{incorrect} \notin M(V')$

no constrained facts for q:  $\text{incorrect} \notin M(V')$

Thus,  $\{x=0 \wedge y=0 \wedge n \geq 1\} \text{ prog } \{y > x\}$  holds.

# Language independent verification via CLP transformations

- The verification method based on CLP transformation is **parametric** with respect to:
  - programming language and its operational semantics
  - properties and proof rules
  - theory of data structures.
- The input and the output of transformation are semantically equivalent CLP programs. This allows:
  - **composition** of verification tasks
  - **iteration** for refining verification
  - easy **interoperation** with other verifiers that use CLP (Horn clause) format.

Encoding partial correctness  
of array programs into CLP

# Encoding Partial Correctness into CLP

Consider the triple  $\{\varphi_{init}\} \text{ prog } \{\neg\varphi_{error}\}$ .

A program *prog* is **incorrect** w.r.t.  $\varphi_{init}$  and  $\varphi_{error}$   
if a final configuration satisfying  $\varphi_{error}$   
is reachable from an initial configuration satisfying  $\varphi_{init}$ .

Definition ( the interpreter *Int* with the transition predicate  $\text{tr}(X,Y)$  )

```
reach(X) :- initConf(X).  
reach(Y) :- tr(X,Y), reach(X).  
incorrect :- errorConf(X), reach(X).
```

+ clauses for  $\text{tr}$  (i.e., the operat. semantics of the programming language)

## Theorem

*prog* is **incorrect** iff **incorrect**  $\in M(\text{Int})$

A program *prog* is **correct** iff it is not **incorrect**.

# $\text{tr}(X, Y)$ : the operational semantics

$L : \text{Id} = \text{Expr}$	<pre>tr( cf(cmd(L, asgn(Id, Expr)), S), cf(cmd(L1, C1), S1)) :-     aeval(Expr, S, V),           <i>evaluate expression</i>     update(Id, V, S, S1),        <i>update store</i>     nextlabel(L, L1),            <i>next label</i>     at(L1, C1).                 <i>next command</i></pre>
$L : \text{if}(\text{Expr}) \{$ $L_1 : \dots$ $\}$ $\text{else}$ $L_2 : \dots$ $\}$	<pre>tr( cf(cmd(L, ite(Expr, L1, L2)), S), cf(C, S)) :-     beval(Expr, S),              <i>expression is true</i>     at(L1, C).                  <i>next command</i> tr( cf(cmd(L, ite(Expr, L1, L2)), S), cf(C, S)) :-     beval(not(Expr), S),         <i>expression is false</i>     at(L2, C).                  <i>next command</i></pre>
$L : \text{goto } L_1$	<pre>tr( cf(cmd(L, goto(L1)), S), cf(C, S)) :-     at(L1, C).                  <i>next command</i></pre>

# $\text{tr}(X, Y)$ : the operational semantics for array assignment

*array assignment*:  $L : a[ie] = e$

```
tr( cf(cmd(L,asgn(elem(A,IE),E)),S), source configuration cf
    cf(cmd(L1,C),S1) ) :-  

    eval(IE,S,I),  

    eval(E,S,V),  

    lookup(S,array(A),FA),  

    write(FA,I,V,FA1),  

    update(S,array(A),FA1,S1),  

    nextlab(L,L1),  

    at(L1,C).  

target configuration cf  

evaluate index expr IE  

evaluate expression E  

get array FA from store  

update array FA, getting FA1  

update store S, getting S1  

next label L1  

command C at next label
```

# Running Example: Ascending Array Initialization

Given the **program** *SeqInit* and the **partial correctness triple**

```
i=1;  
while(i<n) {  
    a[i] = a[i-1]+1;  
    i = i+1;  
}
```

$$\{i \geq 0 \wedge n \geq 1 \wedge n = \dim(a)\}$$

*SeqInit*

$$\{\forall j (0 \leq j \wedge j + 1 < n \rightarrow a[j] < a[j+1])\}$$

CLP encoding of program *SeqInit*

- A set of **at(label, command)** facts.
  - while = ite + goto.
  - elem(a, i) stands for a[i].
- at**( $\ell_0$ , asgn(i, 1)).  
**at**( $\ell_1$ , ite(less(i, n),  $\ell_2$ ,  $\ell_h$ )).  
**at**( $\ell_2$ , asgn(elem(a, i),  
plus(elem(a, minus(i, 1)), 1))).  
**at**( $\ell_3$ , asgn(i, plus(i, 1))).  
**at**( $\ell_4$ , goto( $\ell_1$ )).  
**at**( $\ell_h$ , halt).

CLP encoding of  $\varphi_{init}$  and  $\varphi_{error}$

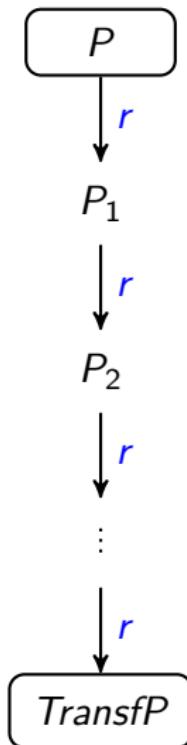
**initConf**( $\ell_0$ , I, N, A) :-  
 $I \geq 0, N \geq 1.$

**errorConf**( $\ell_h$ , N, A) :-

$W \geq 0, W + 1 < N, Z = W + 1, U \geq V,$   
**read**(A, [W, U]), **read**(A, [Z, V]).

## Generating Verification Conditions via CLP transformation

# 'Rule + Strategies' Program Transformation



- The transformation **rules**:

$r \in \{ \text{Definition, Unfolding, Folding, Clause Removal} \}$

- The rules **preserve** the least model:

Theorem (Least model preservation)

$\text{incorrect} \in M(P)$  iff  $\text{incorrect} \in M(\text{Transf}P)$

- The rules must be guided by **strategies**.

[Burstall-Darlington 77, Tamaki-Sato 84, Etalle-Gabbrielli 96]

# Rules for Transforming CLP Programs

R1. **Definition.** Introducing a new predicate (e.g., a loop invariant)

$\text{newp}(X) :- c, A.$

---

R2. **Unfolding.** A symbolic evaluation step (e.g., a resolution step)

given       $H :- c, \underline{A}, G.$

$\underline{A} :- d_1, G_1 . , \dots, \underline{A} :- d_m, G_m .$

derive       $H :- c, d_1, G_1, G. , \dots, H :- c, d_m, G_m, G.$

---

R3. **Folding.** Using a predicate definition

given       $H :- d, \underline{A}, G.$

$\text{newp}(X) :- c, \underline{A}.$       and       $d \rightarrow c$

derive       $H :- d, \text{newp}(X), G.$

---

R4. **Clause Removal.** Delete clauses with

(i) unsatisfiable constraint or (ii) subsumed by other clauses

# The Strategy for Generation (Specialization of Int)

Specialize( $P$ )

```
TransfP = ∅;  
Defs = {incorrect :- errorConf(X), reach(X)};  
while  $\exists q \in \text{Defs}$  do  
    % execute a symbolic evaluation step (i.e., resolution)  
    Cls = Unfolding( $q$ );  
    % remove unsatisfiable and subsumed clauses  
    Cls = ClauseRemoval(Cls);  
    % introduce new predicates (i.e., a loop invariant)  
    Defs = (Defs - { $q$ })  $\cup$  Definition(Cls);  
    % match a predicate definition  
    TransfP = TransfP  $\cup$  Folding(Cls, Defs);  
od
```

# Generation of Verification Conditions

The specialization of *Int* w.r.t. *prog* removes all references to:

- *tr* and
- *at*

## VC: The Verification Conditions for *SeqInit*

```
incorrect :- Z=W+1, W $\geq$ 0, W+1<N, U $\geq$ V, N $\leq$ I,  
          read(A,W,U), read(A,Z,V), new1(I,N,A).  
new1(I1,N,B) :- 1 $\leq$ I, I<N, D=I-1, I1=I+1, V=U+1,  
              read(A,D,U), write(A,I,V,B), new1(I,N,A).  
new1(I,N,A) :- I=1, N $\geq$ 1.
```

- A constrained fact is present:  
we cannot conclude that the program is *correct*.
- The fact *incorrect* is not present:  
we cannot conclude that the program is *incorrect* either.

Checking satisfiability of VC's  
via CLP transformation

# The Strategy for Satisfiability

Transform( $P$ )

$TransfP = \emptyset;$

$Defs = \{\text{incorrect} :- \text{errorConf}(X), \text{reach}(X)\};$

**while**  $\exists q \in Defs$  **do**

$Cls = \text{Unfolding}(q);$

$Cls = \text{ConstraintReplacement}(Cls);$

$Cls = \text{ClauseRemoval}(Cls);$

$Defs = (Defs - \{q\}) \cup \text{Definition}_{\text{array}}(Cls);$

$TransfP = TransfP \cup \text{Folding}(Cls, Defs);$

**od**

## Constraint manipulation in the theory of arrays

# Constraint Replacement Rule

If  $\mathcal{A} \models \forall (c_0 \leftrightarrow (c_1 \vee \dots \vee c_n))$ , where  $\mathcal{A}$  is the Theory of Arrays

Then replace  $H :- c_0, d, G$   
by  $H :- c_1, d, G, \dots, H :- c_n, d, G$

**Constraint Handling Rules** [Fröhwirth et al.] for Constraint Replacement:

- AC1. Array-Congruence-1: if  $i=j$  then  $a[i]=a[j]$   
 $\text{read}(A, I, X) \setminus \text{read}(A1, J, Y) \Leftrightarrow A == A1, I = J \mid X = Y.$
- AC2. Array-Congruence-2: if  $a[i] \neq a[j]$  then  $i \neq j$   
 $\text{read}(A, I, X), \text{read}(A1, J, Y) \Rightarrow A == A1, X <> Y \mid I <> J.$
- ROW. Read-Over-Write: { $a[i]=x; y=a[j]$ } if  $i=j$  then  $x=y$   
 $\text{write}(A, I, X, A1) \setminus \text{read}(A2, J, Y) \Leftrightarrow A1 == A2 \mid (I = J, X = Y) ; (I <> J, \text{read}(A, J, Y)).$

# Ascending Array Initialization

```
new3(A,B,C) :- A=2+H, B-H≤3, E-H≤1, E≥1, B-H≥2, ...,  
    read(N,H,M), read(C,D,F), write(N,J,K,C), read(C,E,G),  
    reach(J,B,N).
```

- by applying the ROW rule:

```
new3(A,B,C) :- J=1+D, A=2+D, K=1+I, I<F, ..., J=E, K=G,  
    read(C,D,F), read(N,D,I), write(N,J,K,C), read(C,E,G),  
    write(N,J,K,C), read(C,E,G),  
    reach(J,B,N).
```

```
new3(A,B,C) :- J=1+D, A=2+D, K=1+I, I<F, ..., J<>E,  
    read(C,D,F), read(N,D,I), write(N,J,K,C), read(C,E,G),  
    write(N,J,K,C), read(C,E,G),  
    reach(J,B,N).
```

- by applying the ROW, AC1, and AC2 rules:

```
new3(A,B,C) :- A=1+H, E=1+D, J=-1+H, K=1+L, D-H≤-2, H<B,...  
    read(N,E,G), read(N,D,F), read(N,J,L), write(N,H,K,C),  
    reach(J,B,M).
```

## Definition Introduction

Introduction of suitable new predicate **definitions** (they correspond to **program invariants**).

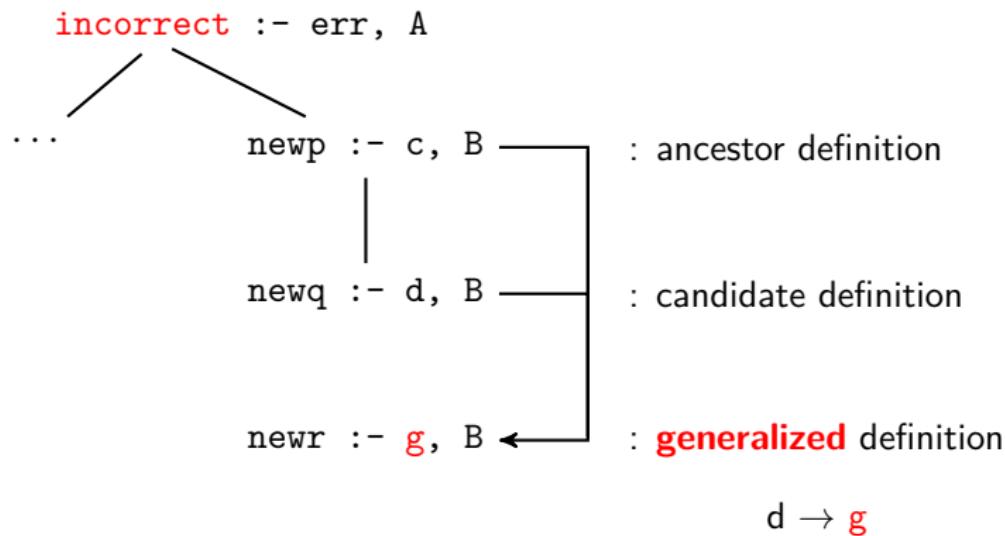
**Difficulty:** Introduction of an unbounded number of new predicate definitions.

**Solution:** Use of **generalization** operators:

- to ensure the **termination** of the transformation,
- to generate program **invariants**.

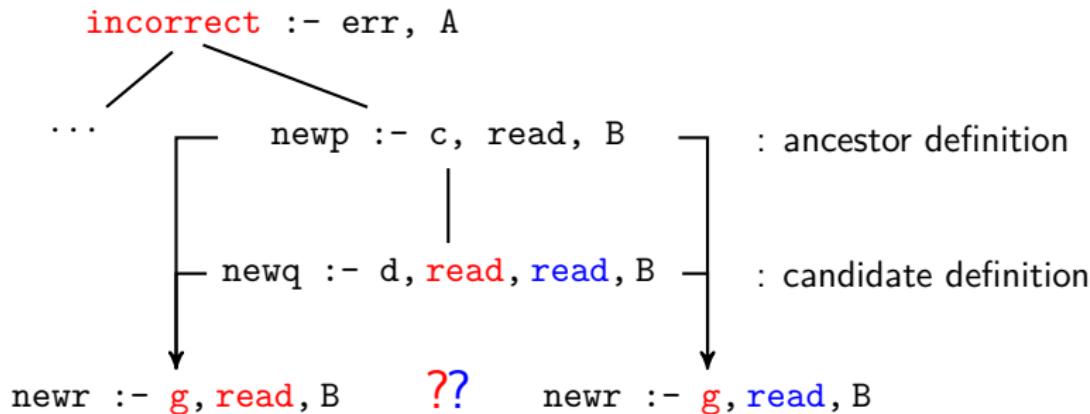
# Constraint Generalizations

Definitions are arranged as a tree:



Generalization operators based on **widening** and **convex-hull**  
[Cousot-Cousot 77, Cousot-Halbwachs 78].

# Array Constraint Generalizations



- We decorate CLP variables with the **variable identifiers** of the imperative program.

VC: The Verification Conditions for *SeqInit* (decorated)

```
incorrect :- Z=W+1, W≥0, W+1<N, U≥V, N≤I,  
          read(A,Wj,Ua[j]), read(A,Zj1,Va[j1]), new1(I,N,A).  
new1(I1,N,B) :- 1≤I, I<N, D=I-1, I1=I+1, V=U+1,  
              read(A,Di,Ua[i]), write(A,I,V,B), new1(I,N,A).  
new1(I,N,A) :- I=1, N≥1.
```

# Ascending Array Initialization

: ancestor definition

```
new3(I,N,A) :- E+1=F, E $\geq$ 0, I>F, G $\geq$ H, N>F, N $\leq$ I+1,  
read(A,E $j$ ,G $a[j]$ ), read(A,F $j1$ ,H $a[j1]$ ), reach(I,N,A).
```

: candidate definition

```
new4(I,N,A) :- E+1=F, E $\geq$ 0, I>F, G $\geq$ H, I=I1+1, I1+2 $\leq$ C, N $\leq$ I1+3,  
read(A,E $j$ ,G $a[j]$ ), read(A,F $j1$ ,H $a[j1]$ ), read(A,P $i$ ,Q $a[i]$ ),  
reach(I,N,A).
```

: **generalized** definition

```
new5(I,N,A) :- E+1=F, E $\geq$ 0, I>F, G $\geq$ H, N>F,  
read(A,E $j$ ,G $a[j]$ ), read(A,F $j1$ ,H $a[j1]$ ), reach(I,N,A).
```

In the paper: a variable of the form  $G^v$  is encoded by  $\text{val}(v, G)$ .

# Result of Transformation

By applying the transformation strategy *Transform* to the verification conditions for *SeqInit*:

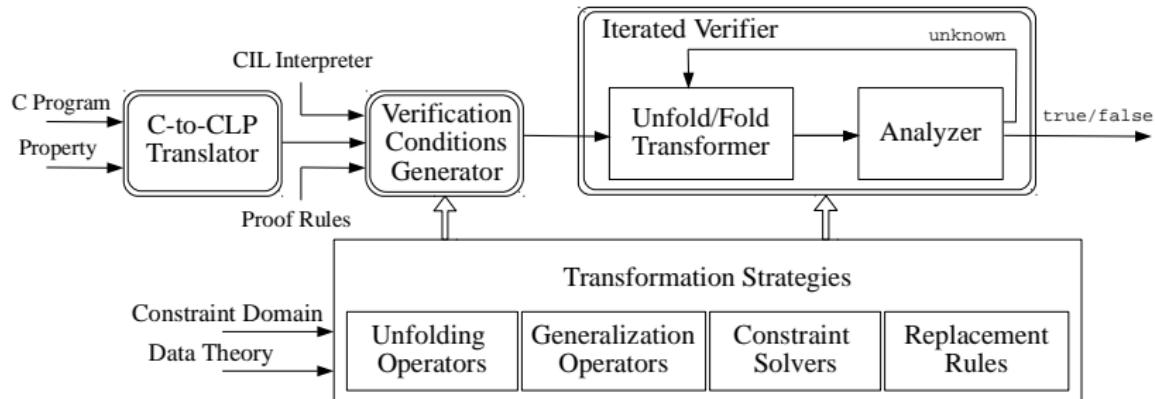
$VC'$ : Transformed verification conditions for *SeqInit*

```
incorrect :- J1=J+1, J≥0, J1<I, AJ≥AJ1, D=I-1, N=I+1, Y=X+1,  
          read(A, J, AJ), read(A, J1, AJ1), read(A, D, X), write(A, I, Y, B),  
          new1(I, N, A).  
new1(I1, N, B) :- I1=I+1, Z=W+1, Y=X+1, D=I-1, N≤I+2,  
                 I≥1, Z<I, Z≥1, N>I, U≥V, read(A, W, U), read(A, Z, V),  
                 read(A, D, X), write(A, I, Y, B), new5(I, N, A).  
new5(I1, N, B) :- I1=I+1, Z=W+1, Y=X+1, D=I-1, I≥1,  
                 Z<I, Z≥1, N>I, U≥V, read(A, W, U), read(A, Z, V),  
                 read(A, D, X), write(A, I, Y, B), new5(I, N, A).
```

No constrained facts in  $VC'$ :  $\text{incorrect} \notin M(VC')$ .  
The program *SeqInit* is **correct**.

## Experimental results

- The VeriMAP tool <http://map.uniroma2.it/VeriMAP>



# Experimental evaluation

Program	$Gen_{W,\mathcal{I},\sqcap}$	$Gen_{H,\mathcal{V},\subseteq}$	$Gen_{H,\mathcal{V},\sqcap}$	$Gen_{H,\mathcal{I},\subseteq}$	$Gen_{H,\mathcal{I},\sqcap}$
bubblesort-inner	0.9	<i>unknown</i>	<i>unknown</i>	<i>unknown</i>	1.52
copy-partial	<i>unknown</i>	<i>unknown</i>	3.52	3.51	3.54
copy-reverse	<i>unknown</i>	<i>unknown</i>	5.25	<i>unknown</i>	5.23
copy	<i>unknown</i>	<i>unknown</i>	5.00	4.88	4.90
find-first-non-null	0.14	0.66	0.64	0.28	0.27
find	1.04	6.53	2.35	2.33	2.29
first-not-null	0.11	0.22	0.22	0.22	0.22
init-backward	<i>unknown</i>	1.04	1.04	1.03	1.04
init-non-constant	<i>unknown</i>	2.51	2.51	2.47	2.47
init-partial	<i>unknown</i>	0.9	0.89	0.9	0.89
init-sequence	<i>unknown</i>	4.38	4.33	4.41	4.29
init	<i>unknown</i>	1.00	0.97	0.98	0.98
insertionsort-inner	0.58	2.41	2.4	2.38	2.37
max	<i>unknown</i>	<i>unknown</i>	0.8	0.81	0.82
partition	0.84	1.77	1.78	1.76	1.76
rearrange-in-situ	<i>unknown</i>	<i>unknown</i>	3.06	3.01	3.03
selectionsort-inner	<i>unknown</i>	<i>time-out</i>	<i>unknown</i>	2.84	2.83
verified	6	10	15	15	17
total time	3.61	21.42	34.76	31.81	38.45
average time	0.60	2.14	2.31	2.12	2.26

# Conclusions

Our verification framework:

- CLP as a metalanguage for a formal definition of the programming language semantics and program properties
- Semantics preserving transformations of CLP as programming language independent proof rules.