

1 Pairwise preferences in the stable marriage 2 problem

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11 — Abstract —

12 We study the classical, two-sided stable marriage problem under pairwise preferences. In the
13 most general setting, agents are allowed to express their preferences as comparisons of any two
14 of their edges and they also have the right to declare a draw or even withdraw from such a
15 comparison. This freedom is then gradually restricted as we specify six stages of orderedness in
16 the preferences, ending with the classical case of strictly ordered lists. We study all cases occurring
17 when combining the three known notions of stability—weak, strong and super-stability—under
18 the assumption that each side of the bipartite market obtains one of the six degrees of orderedness.
19 By designing three polynomial algorithms and two NP-completeness proofs we determine the
20 complexity of all cases not yet known, and thus give an exact boundary in terms of preference
21 structure between tractable and intractable cases.

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33 **1** Introduction

34 In the 2016 USA Presidential Elections, polls unequivocally reported Democratic presidential
35 nominee Bernie Sanders to be more popular than Republican candidate Donald Trump [34, 35].
36 However, Sanders was beaten by Clinton in their own party’s primary election cycle, thus
37 the 2016 Democratic National Convention endorsed Hillary Clinton to be the Democrat’s
38 candidate. In the Presidential Elections, Trump defeated Clinton. This recent example
39 demonstrates well how inconsistent pairwise preferences can be.

40 Preferences play an essential role in the stable marriage problem and its extensions. In
41 the classical setting [14], each man and woman expresses their preferences on the members
42 of the opposite gender by providing a strictly ordered list. A set of marriages is stable if no
43 pair of agents blocks it. A man and woman form a blocking pair if they mutually prefer one
44 another to their respective spouses.

45 Requiring strict preference orders in the stable marriage problem is a strong assumption,
46 which rarely suits real world scenarios [5]. The study of less restrictive preference structures
47 has been flourishing [3, 11, 19, 23, 25, 28] for decades. As soon as one allows for ties in
48 preference lists, the definition of a blocking edge needs to be revisited. In the literature,
49 three intuitive definitions are used, each of which defines weakly, strongly and super stable
50 matchings. According to weak stability, a matching is blocked by an edge uw if agents u and
51 w both strictly prefer one another to their partners in the matching. A strongly blocking
52 edge is preferred strictly by one end vertex, whereas it is not strictly worse than the matching
53 edge at the other end vertex. A blocking edge is at least as good as the matching edge for
54 both end vertices in the super stable case. Super stable matchings are strongly stable and
55 strongly stable matchings are weakly stable by definition.

56 Weak stability is an intuitive notion that is most aligned with the classical blocking edge
57 definition in the model defined by Gale and Shapley [14]. However, reaching strong stability
58 is the goal to achieve in many applications, such as college admission programs. In most
59 countries, students need to submit a strict ordering in the application procedure, but colleges
60 are not able to rank all applicants strictly, hence large ties occur in their lists. According to
61 the equal treatment policy used in Chile and Hungary for example, it may not occur that a
62 student is rejected from a college preferred by him, even though other students with the same
63 score are admitted [6, 31]. Other countries, such as Ireland [9], break ties with lottery, which
64 gives way to a weakly stable solution. Super stable matchings are admittedly less relevant in
65 applications, however, they represent worst-case scenarios if uncertain information is given
66 about the agents’ preferences. If two edges are incomparable to each other due to incomplete
67 information derived from the agent, then it is exactly the notion of a super stable matching
68 that guarantees stability, no matter what the agent’s true preferences are.

69 The goal of our present work is to investigate the three cases of stability in the presence
70 of more general preference structures than ties.

71 **1.1** Related work

72 The study of cyclic and intransitive preferences has been triggering scientists from a wide
73 range of fields for decades. Blavatsky [8] demonstrated that in choice situations under risk, the
74 overwhelming majority of individuals expresses intransitive choice and violation of standard
75 consistency requirements. Humphrey [17] found that cyclic preferences persist even when the
76 choice triple is repeated for the second time. Using MRI scanners, neuroscientists identified
77 brain regions encoding ‘local desirability’, which led to clear, systematic and predictable
78 intransitive choices of the participants of the experiment [24].

79 Cyclic and intransitive preferences occur naturally in multi-attribute comparisons [12, 30].
 80 May [30] studied the choice on a prospective partner and found that a significant portion
 81 of the participants expressed the same cyclic preference relations if candidates lacking
 82 exactly one of the three properties intelligence, looks, and wealth were offered at pairwise
 83 comparisons. Cyclic and intransitive preferences also often emerge in the broad topic of
 84 voting and representation, if the set of voters differs for some pairwise comparisons [2], such
 85 as in our earlier example with the polls on the Clinton–Sanders–Trump battle. Preference
 86 aggregation is another field that often yields intransitive group preferences, as the famous
 87 Condorcet-paradox [10] also states. In this paper, we investigate the stable marriage problem
 88 equipped with these ubiquitous and well-studied preference structures.

89 Regarding the stable marriage problem, all three notions of stability have been thoroughly
 90 investigated if preferences are given in the form of a partially ordered set, a list with ties or
 91 a strict list [14, 19, 23, 25, 28, 29]. Weakly stable matchings always exist and can be found
 92 in polynomial time [28], and a super stable matching or a proof for its non-existence can also
 93 be produced in polynomial time [19, 29]. The most sophisticated ideas are needed in the case
 94 of strong stability, which turned out to be solvable in polynomial time if both sides have tied
 95 preferences [19]. Irving [19] remarked that “Algorithms that we have described can easily
 96 be extended to the more general problem in which each person’s preferences are expressed
 97 as a partial order. This merely involves interpreting the ‘head’ of each person’s (current)
 98 poset as the set of source nodes, and the ‘tail’ as the set of sink nodes, in the corresponding
 99 directed acyclic graph.” Together with his coauthors, he refuted this statement for strongly
 100 stable matchings and shows that exchanging ties for posets actually makes the strongly stable
 101 marriage problem NP-complete [23]. We show it in this paper that the intermediate case,
 102 namely when one side has ties preferences, while the other side has posets, is solvable in
 103 polynomial time.

104 Beyond posets, studies on the stable marriage problem with general preferences occur
 105 sporadically. These we include in Table 1 to give a structured overview on them. Intransitive,
 106 acyclic preference lists were permitted by Abraham [1], who connects the stable roommates
 107 problem with the maximum size weakly stable marriage problem with intransitive, acyclic
 108 preference lists in order to derive a structural perspective. Aziz et al. [3] discussed the stable
 109 marriage problem under uncertain pairwise preferences. They also considered the case of
 110 certain, but cyclic preferences and show that deciding whether a weakly stable matching
 111 exists is NP-complete if both sides can have cycles in their preferences. Strongly and super
 112 stable matchings were discussed by Farczadi et al. [11]. Throughout their paper they assumed
 113 that one side has strict preferences, and show that finding a strongly or a super stable
 114 matching (or proving that none exists) can be done polynomial time if the other side has
 115 cyclic lists, where cycles of length at least 3 are permitted to occur, but the problems become
 116 NP-complete as soon as cycles of length 2 are also allowed.

117 1.2 Our contribution

118 This paper aims to provide a coherent framework for the complexity of the stable marriage
 119 problem under various preference structures. We consider the three known notions of stability:
 120 weak, strong and super. In our analysis we distinguish six stages of entropy in the preference
 121 lists; strict lists, lists with ties, posets, acyclic pairwise preferences, asymmetric pairwise
 122 preferences and arbitrary pairwise preferences. All of these have been defined in earlier
 123 papers, along with some results on them. Here we collect and organize these known results in
 124 all three notions of stability, considering six cases of orderedness for each side of the bipartite
 125 graph. Table 1 summarizes these results.

WEAK	strict	ties	poset	acyclic	asymmetric or arbitrary	
strict	$\mathcal{O}(m)$ [14]	$\mathcal{O}(m)$ [19]	$\mathcal{O}(m)$ [28]	$\mathcal{O}(m)$	NP	
ties		$\mathcal{O}(m)$ [19]	$\mathcal{O}(m)$ [28]	$\mathcal{O}(m)$	NP	
poset			$\mathcal{O}(m)$ [28]	$\mathcal{O}(m)$	NP	
acyclic				$\mathcal{O}(m)$	NP	
asymmetric or arbitrary					NP [3]	

STRONG	strict	ties	poset	acyclic	asymmetric	arbitrary
strict	$\mathcal{O}(m)$ [14]	$\mathcal{O}(nm)$ [19, 25]	pol [11]	pol [11]	pol [11]	NP [11]
ties		$\mathcal{O}(nm)$ [19, 25]	$\mathcal{O}(mn^2 + m^2)$	$\mathcal{O}(mn^2 + m^2)$	$\mathcal{O}(mn^2 + m^2)$	NP [11]
poset			NP [23]	NP [23]	NP [23]	NP [23]
acyclic				NP [23]	NP [23]	NP [23]
asymmetric					NP [23]	NP [23]
arbitrary						NP [23]

SUPER	strict	ties	poset	acyclic	asymm.	arbitrary
strict	$\mathcal{O}(m)$ [14]	$\mathcal{O}(m)$ [19]	$\mathcal{O}(m)$ [19, 29]	$\mathcal{O}(m)$ [11]	$\mathcal{O}(m)$ [11]	NP [11]
ties		$\mathcal{O}(m)$ [19]	$\mathcal{O}(m)$ [19, 29]	$\mathcal{O}(n^2m)$	$\mathcal{O}(n^2m)$	NP [11]
poset			$\mathcal{O}(m)$ [19, 29]	$\mathcal{O}(n^2m)$	$\mathcal{O}(n^2m)$	NP [11]
acyclic				NP	NP	NP [11]
asymmetric					NP	NP [11]
arbitrary						NP [11]

■ **Table 1** The complexity tables for weak, strong and super-stability.

126 Each of the three tables contained empty cells, this is, cases with unknown complexity so
 127 far. These are denoted by color in Table 1. We fill all gaps, providing two NP-completeness
 128 proofs and three polynomial time algorithms. Interestingly, the three tables have the border
 129 between polynomial time and NP-complete cases at very different places.

130 **Structure of the paper.** We define the problem variants formally in Section 2. Weak,
 131 strong and super stable matchings are then discussed in Sections 3, 4 and 5, respectively.

132 2 Preliminaries

133 In the stable marriage problem, we are given a not necessarily complete bipartite graph
 134 $G = (U \cup W, E)$, where vertices in U represent men, vertices in W represent women, and
 135 edges mark the acceptable relationships between them. Each person $v \in U \cup W$ specifies
 136 a set \mathcal{R}_v of pairwise comparisons on the vertices adjacent to them. These comparisons as
 137 ordered pairs define four possible relations between two vertices a and b in the neighborhood
 138 of v .

- 139 ■ a is preferred to b , while b is not preferred to a by v : $a \prec_v b$;
- 140 ■ a is not preferred to b , while b is preferred to a by v : $a \succ_v b$;
- 141 ■ a is not preferred to b , neither is b preferred to a by v : $a \sim_v b$;
- 142 ■ a is preferred to b , so is b preferred to a by v : $a ||_v b$.

143 In words, the first two relationships express that an agent v *prefers* one agent *strictly* to
 144 the other. The third option is interpreted as *incomparability*, or a not yet known relation
 145 between the two agents. The last relation tells that v knows for sure that the two options
 146 are *equally good*. For example, if v is a sports sponsor considering to offer a contract to
 147 exactly one of players a and b , then v 's preferences are described by these four relations in

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148 the following scenarios: a beats b , b beats a , a and b have not played against each other yet,
149 and finally, a and b played a draw.

150 We say that edge va *dominates* edge vb if $a \prec_v b$. If $a \prec_v b$ or $a \sim_v b$, then b is *not*
151 *preferred to* a . The partner of vertex v in matching M is denoted by $M(v)$. The neighborhood
152 of v in graph G is denoted by $\mathcal{N}_G(v)$ and it consists of all vertices that are adjacent to v
153 in G . To ease notation, we introduce the empty set as a possible partner to each vertex,
154 symbolizing the vertex remaining unmatched in a matching M ($M(v) = \emptyset$). As usual, being
155 matched to any acceptable vertex is preferred to not being matched at all: $a \prec_v \emptyset$ for every
156 $a \in \mathcal{N}(v)$. Edges to unacceptable partners do not exist, thus these are not in any pairwise
157 relation to each other or to edges incident to v .

158 We differentiate six degrees of preference orderedness in our study.

- 159 1. The strictest, classical two-sided model [14] requires each vertex to rank all of its neighbors
160 in a *strict* order of preference. For each vertex, this translates to a transitive and complete
161 set of pairwise relations on all adjacent vertices.
- 162 2. This model has been relaxed very early to lists admitting *ties* [19]. The pairwise preferences
163 of vertex v form a preference list with ties if the neighbors of v can be clustered into some
164 sets N_1, N_2, \dots, N_k so that vertices in the same set are incomparable, while for any two
165 vertices in different sets, the vertex in the set with the lower index is strictly preferred to
166 the other one.
- 167 3. Following the traditions [13, 20, 23, 28], the third degree of orderedness we define is when
168 preferences are expressed as *posets*. Any set of antisymmetric and transitive pairwise
169 preferences by definition forms a partially ordered set.
- 170 4. By dropping transitivity but still keeping the structure cycle-free, we arrive to *acyclic*
171 preferences [1]. This category allows for example $a \sim_v c$, if $a \prec_v b \prec_v c$, but it excludes
172 $a \parallel_v c$ and $a \succ_v c$.
- 173 5. *Asymmetric* preferences [11] may contain cycles of length at least 3. This is equivalent to
174 dropping acyclicity from the previous cluster, but still prohibiting the indifference relation
175 $a \parallel_v b$, which is essentially a 2-cycle in the form a is preferred to b , and b is preferred to a .
- 176 6. Finally, an *arbitrary* set of pairwise preferences can also be allowed [3, 11].

177 A matching is *stable* if it admits no blocking edge. For strict preferences, a blocking edge
178 was defined in the seminal paper of Gale and Shapley [14]: an edge $uv \notin M$ blocks matching
179 M if both u and v prefer each other to their partner in M or they are unmatched. Already
180 when extending this notion to preference lists with ties, one needs to specify how to deal with
181 incomparability. Irving [19] defined three notions of stability. We extend them to pairwise
182 preferences in the coming three sections. We omit the adjectives weakly, strongly, and super
183 wherever there is no ambiguity about the type of stability in question. All missing proofs
184 can be found in the Appendix.

185 3 Weak stability

186 In weak stability, an edge outside of M blocks M if it is *strictly preferred* to the matching
187 edge by *both* of its end vertices. From this definition follows that $w \parallel_u w'$ and $w \sim_u w'$
188 are exchangeable in weak stability, because blocking occurs only if the non-matching edge
189 dominates the matching edges at both end vertices. Therefore, an instance with arbitrary
190 pairwise preferences can be assumed to be asymmetric.

191 ► **Definition 1** (blocking edge for weak stability). *Edge uw blocks M , if*

- 192 1. $uw \notin M$;
 193 2. $w \prec_u M(u)$;
 194 3. $u \prec_w M(w)$.

195 For weak stability, preference structures up to posets have been investigated, see Table 1.
 196 A stable solution is guaranteed to exist in these cases [19, 28]. Here we extend this result to
 197 acyclic lists, and complement it with a hardness proof for all cases where asymmetric lists
 198 appear, even if they do so on one side only.

199 ► **Theorem 2.** *Any instance of the stable marriage problem with acyclic pairwise preferences
 200 for all vertices admits a weakly stable matching, and there is a polynomial time algorithm to
 201 determine such a matching.*

202 **Proof.** We utilize a widely used argument [19] to show this. For acyclic relations \mathcal{R}_v , a linear
 203 extension \mathcal{R}'_v of \mathcal{R}_v exists. The extended instance with linear preferences is guaranteed to
 204 admit a stable matching [14]. Compared to \mathcal{R} , relations in \mathcal{R}'_v impose more constraints on
 205 stability, therefore, they can only restrict the original set of weakly stable solutions. If both
 206 sides have acyclic lists, a stable matching is thus guaranteed to exist and a single run of the
 207 Gale-Shapley algorithm on the extended instance delivers one. ◀

208 Stable matchings are not guaranteed to exist as soon as a cycle appears in the preferences,
 209 as Example 3 demonstrates. Theorem 4 shows that the decision problem is in fact hard from
 210 that point on.

211 ► **Example 3.** *No stable matching can be found in the following instance with strict lists on
 212 one side and asymmetric lists on the other side. There are three men u_1, u_2, u_3 adjacent to
 213 one woman w . The woman's pairwise preferences are cyclic: $u_1 \prec u_2, u_2 \prec u_3, u_3 \prec u_1$. Any
 214 stable matching M must consist of a single edge. Since the men's preferences are identical,
 215 we can assume that $u_1w \in M$ without loss of generality. Then u_3w blocks M .*

216 ► **Theorem 4.** *If one side has strict lists, while the other side has asymmetric pairwise
 217 preferences, then determining whether a weakly stable matching exists is NP-complete, even
 218 if each agent finds at most four other agents acceptable.*

219 4 Strong stability

220 In strong stability, an edge outside of M blocks M if it is *strictly preferred* to the matching
 221 edge by *one* of its end vertices, while the other end vertex *does not prefer* its matching edge
 222 to it.

223 ► **Definition 5** (blocking edge for strong stability). *Edge uw blocks M , if*

224 1. $uw \notin M$; 2. $w \prec_u M(u)$ or $w \sim_u M(u)$; 3. $u \prec_w M(w)$,	or	1. $uw \notin M$; 2. $w \prec_u M(u)$; 3. $u \prec_w M(w)$ or $u \sim_w M(w)$.
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225 The largest set of relevant publications has appeared on strong stability, yet gaps were
 226 present in the complexity table, see Table 1. In this section we present a polynomial algorithm
 227 that is valid in all cases not solved yet. We assume men to have preference lists with ties,
 228 and women to have asymmetric relations. Our algorithm returns a strongly stable matching
 229 or a proof for its nonexistence. It can be seen as an extended version of Irving's algorithm
 230 for strongly stable matchings in instances with ties on both sides [19]. Our contribution is
 231 a sophisticated rejection routine, which is necessary here, because of the intransitivity of

232 preferences on the women's side. The algorithm in [11] solves the problem for strict lists on
 233 the men's side, and it is much simpler than ours. It was designed for super stable matchings,
 234 but strong and super stability do not differ if one side has strict lists. For this reason, that
 235 algorithm is not suitable for an extension in strong stability.

236 Roughly speaking, our algorithm alternates between two phases, both of which iteratively
 237 eliminate edges that cannot occur in a strongly stable matching. In the first phase, Gale-
 238 Shapley proposals and rejections happen, while the second phase focuses on finding a vertex
 239 set violating the Hall condition in a specified subgraph. Finally, if no edge can be eliminated
 240 any more, then we show that an arbitrary maximum matching is either stable or it is a proof
 241 for the non-existence of stable matchings. Algorithms 1 and 2 below provide a pseudocode.
 242 The time complexity analysis has been shifted to the Appendix.

243 The second phase of the algorithm relies on the notion of the *critical set* in a bipartite
 244 graph, also utilized in [19], which we sketch here. For an exhaustive description we refer the
 245 reader to [27]. The well-known Hall-condition [16] states that there is a matching covering
 246 the entire vertex set U if and only if for each $X \subseteq U$, $|\mathcal{N}(X)| \geq |X|$. Informally speaking,
 247 the reason for no matching being able to cover all the vertices in U is that a subset X of
 248 them has too few neighbors in W to cover their needs. The difference $\delta(X) = |X| - |\mathcal{N}(X)|$
 249 is called the *deficiency of X* . It is straightforward that for any $X \subseteq U$, at least $\delta(X)$ vertices
 250 in X cannot be covered by any matching in G , if $\delta(X) > 0$. Let $\delta(G)$ denote the maximum
 251 deficiency over all subsets of U . Since $\delta(\emptyset) = 0$, we know that $\delta(G) \geq 0$. Moreover, it can be
 252 shown the size of maximum matching is $\nu(G) = |U| - \delta(G)$. If we let Z_1, Z_2 be two arbitrary
 253 subsets of U realizing the maximum deficiency, then $Z_1 \cap Z_2$ has maximum deficiency as well.
 254 Therefore, the intersection of all maximum-deficiency subsets of U is the unique set with
 255 maximum deficiency with the following properties: **1.** it has the lowest number of elements
 256 and **2.** it is contained in all other subsets with maximum deficiency. This set is called the
 257 *critical set* of G . Last but not least, it is computationally easy to determine the critical
 258 set, since for any maximum matching M in G , the critical set consists of vertices in U not
 259 covered by M and vertices in U reachable from the uncovered ones via an alternating path.

260 ► **Theorem 6.** *If one side has tied preferences, while the other side has asymmetric pairwise*
 261 *preferences, then deciding whether the instance admits a strongly stable matching can be done*
 262 *in $\mathcal{O}(mn^2 + m^2)$ time.*

263 **Initialization.** For the clarity of our proofs we add a dummy partner w_u to the bottom
 264 of the list of each man u , where w_u is not acceptable to any other man (line 1). We call
 265 the modified instance \mathcal{I}' . This standard technical modification is to ensure that all men are
 266 matched in all stable matchings. At start, all edges are *inactive* (line 2).

267 **First phase.** The first phase of our algorithm (lines 3-9) imitates the classical Gale-
 268 Shapley deferred acceptance procedure. In the first round, each unmatched man simulta-
 269 neously proposes to all women in his top tie (line 4). Inactive edges that carry a proposal
 270 become *active* as soon as the proposal arrives. The tie that a man has just proposed along
 271 is called the man's *proposal tie*. If all edges in the proposal tie are rejected, the man steps
 272 down on his list and proposes along all edges in the next tie (lines 3-4).

273 Proposals cause two types of rejections in the graph (lines 5-8), based on the rules
 274 below. Notice that these rules are more sophisticated than in the Gale-Shapley or Irving
 275 algorithms [14, 19]. The most striking difference may be that rejected edges are not deleted
 276 from the graph, since they can very well carry a proposal later. However, the term active
 277 only describes proposal edges that have not been rejected yet, not even prior to the proposal.

278 ■ For each new proposal (but not necessarily active) edge uw , w rejects all edges to which

Algorithm 1 Strongly stable matching with ties and asymmetric relations

Input: $\mathcal{I} = (U, W, E, \mathcal{R}_U, \mathcal{R}_W)$; \mathcal{R}_U : lists with ties, \mathcal{R}_W : asymmetric.

INITIALIZATION

- 1: for each $u \in U$ add an extra woman w_u at the end of his list; w_u is only acceptable for u
- 2: set all edges to be inactive

PHASE 1

- 3: **while** there exists a man with no active edge **do**
- 4: propose along all edges of each such man u in the next tie on his list
- 5: **for** each new proposal edge uw **do**
- 6: reject all edges $u'w$ such that $u \prec_w u'$
- 7: **end for**
- 8: STRONG_REJECT()
- 9: **end while**

PHASE 2

- 10: let G_A be the graph of active edges with $V(G_A) = U \cup W$
- 11: let $U' \subseteq U$ be the critical set of men with respect to G_A
- 12: **if** $U' \neq \emptyset$ **then**
- 13: all active edges of each $u \in U'$ are rejected
- 14: STRONG_REJECT()
- 15: **goto** PHASE 1
- 16: **end if**

OUTPUT

- 17: let M be a maximum matching in G_A
 - 18: **if** M covers all women who have ever had an active edge **then**
 - 19: STOP, OUTPUT $M \cap E$ and “There is a strongly stable matching.”
 - 20: **else**
 - 21: STOP, OUTPUT “There is no strongly stable matching.”
 - 22: **end if**
-

Algorithm 2 STRONG_REJECT()

- 23: let $R = U$
 - 24: **while** $R \neq \emptyset$ **do**
 - 25: let u be an element of R
 - 26: **if** u has exactly one active edge uw **then**
 - 27: reject all $u'w$ such that $u' \sim_w u$
 - 28: if $u'w$ was active, then let $R := R \cup \{u'\}$
 - 29: **else if** u has no active edge **then**
 - 30: reject all $u'w$ such that w is in the proposal tie of u and $u' \sim_w u$
 - 31: if $u'w$ was active, then let $R := R \cup \{u'\}$
 - 32: **end if**
 - 33: let $R := R \setminus \{u\}$
 - 34: **end while**
-

279 uw is strictly preferred (lines 5-7). Note again that uw might have been rejected earlier
 280 than being proposed along, in which case uw is a proposal edge without being active.

281 ■ The second kind of rejections are detailed in Algorithm 2. We search for a man in the
 282 set R of men to be investigated, whose set of active edges has cardinality at most 1
 283 (lines 23-25). If any such man has exactly one active edge uw (line 26), then all other
 284 edges that are incident to w and incomparable to uw are rejected (line 27). If man u'
 285 has lost an active edge in the previous operation, then u' is added back to the set R of
 286 men to be investigated in later rounds (line 28). The other case is when a man u has no
 287 active edge at all (line 29). In this case, all edges that are incident to any neighbor w
 288 of u in his—now fully rejected—proposal tie and incomparable to uw at w are rejected
 289 (line 30). The set R is again supplemented by those men who lost active edges during the
 290 previous operation (line 31). Finally, the man u chosen at the beginning of this rejection
 291 round is excluded from R .

292 As mentioned earlier, men without any active edge proceed to propose along the next tie in
 293 their list. These operations are executed until there is no more edge to propose along or to
 294 reject, which marks the end of the first phase.

295 **Second phase.** In the second phase, the set of active edges induce the graph G_A , on
 296 which we examine the critical set U' (lines 10-11). If U' is not empty, then all active edges
 297 of each $u \in U'$ are rejected (line 13). These rejections might trigger more rejections, which is
 298 done by calling Algorithm 2 as a subroutine (line 14). The mass rejections in line 13 generate
 299 a new proposal tie for at least one man, returning to the first phase (line 15). Note that an
 300 empty critical set leads to producing the output, which is described just below.

301 **Output.** In the final set of active edges, an arbitrary maximum matching M is calculated
 302 (line 17). If M covers all women who have ever had an active edge, then we send it to the
 303 output (lines 18-19), otherwise we report that no stable matching exists (lines 20-21).

304 We prove Theorem 6 via a number of claims, building up the proof as follows. The
 305 first three claims provide the technical footing for the last two claims. Claim 1 is a rather
 306 technical observation about the righteousness of the input initialization. An edge appearing
 307 in any stable matching is called a *stable edge*. Claim 2 shows that no stable edge is ever
 308 rejected. Claim 3 proves that all stable matchings must cover all women who have ever
 309 received an offer. Then, Claim 4 proves that if the algorithm outputs a matching, then it
 310 must be stable, and Claim 5 shows the opposite direction; if stable matchings exist, then one
 311 is outputted by our algorithm.

312 ► **Claim 1.** A matching in \mathcal{I}' is stable if and only if its restriction to \mathcal{I} is stable and it covers
 313 all men in \mathcal{I}' .

314 **Proof.** If a matching in \mathcal{I}' leaves a man u unmatched, then uw_u blocks the matching. Thus
 315 all stable matchings in \mathcal{I}' cover all men. Furthermore, the restriction to \mathcal{I} of a stable matching
 316 in \mathcal{I}' cannot be blocked by any edge in \mathcal{I} , because this blocking edge also exists in \mathcal{I}' .

317 A stable matching in \mathcal{I} , supplemented by the dummy edges for all unmatched men cannot
 318 be blocked by any edge in \mathcal{I}' , because dummy edges are last-choice edges and regular edges
 319 block in both instances simultaneously. ◀

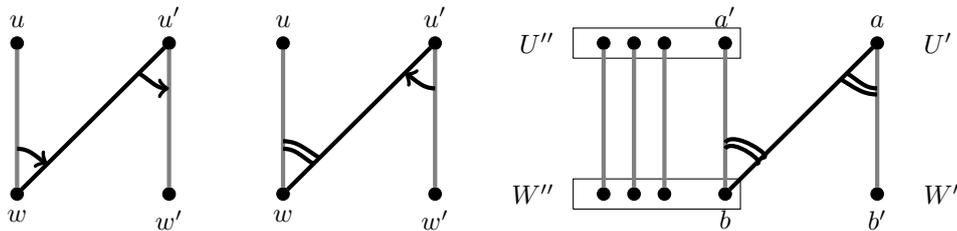
320 ► **Claim 2.** No stable edge is ever rejected in the algorithm.

321 **Proof.** Let us suppose that uw is the first rejected stable edge and the corresponding stable
 322 matching is M . There are four rejection calls, in lines 6, 13, 27, and 30. In all cases we
 323 derive a contradiction. Our arguments are illustrated in Figure 1.

- 324 ■ Line 6: uw was rejected because w received a proposal from a man u' such that $u' \prec_w u$.
 325 Since M is stable, u' must have a partner w' in M such that $w' \prec_{u'} w$. We also
 326 know that u' has reached w with its proposal ties, thus, due to the monotonicity of
 327 proposals, $u'w' \in M$ must have been rejected before uw was rejected. This contradicts
 328 our assumption that uw was the first rejected stable edge.
- 329 ■ Lines 27 and 30: rejection was caused by a man u' such that $u' \sim_w u$.
 330 Either the whole proposal tie of u' was rejected or $u'w$ was the only active edge within
 331 this tie. Since M is stable, u' must have a partner w' in M . Since $u'w'$ is a stable edge,
 332 it cannot have been rejected previously. Consequently, $w \prec_{u'} w'$. Thus, $u'w$ blocks M ,
 333 which contradicts its stability.
- 334 ■ Line 13: uw was rejected as an active edge incident to the critical set U' in G_A .
 335 Let $W' = \mathcal{N}_{G_A}(U')$, $U'' = \{u \in U' : M(u) \in W'\}$, and $W'' = \{w \in W' : M(w) \in U''\}$. In
 336 words, W' is the neighborhood of U' , while U'' and W'' represent the men and women in
 337 U' and W' who are paired up in M . Due to our assumption, $u \in U''$ and $w \in W''$.
 We claim that $|U' \setminus U''| < |U'|$ and $\delta(U' \setminus U'') \geq \delta(U')$, which contradicts the fact that
 U' is critical. Since $U'' \neq \emptyset$, the first part holds. Note that $|U''| = |W''|$, so it suffices to
 show that $\mathcal{N}_{G_A}(U' \setminus U'') \subseteq W' \setminus W''$, because in that case

$$\begin{aligned} \delta(U' \setminus U'') &= |U' \setminus U''| - |\mathcal{N}_{G_A}(U' \setminus U'')| \geq |U' \setminus U''| - |W' \setminus W''| = \\ &= (|U'| - |U''|) - (|W'| - |W''|) = \\ &= |U'| - |W'| = \delta(U'), \end{aligned}$$

338 which would prove the second part of our claim.
 339 What remains to show is that $\mathcal{N}_{G_A}(U' \setminus U'') \subseteq W' \setminus W''$. Suppose that there exists an
 340 edge ab in G_A from $U' \setminus U''$ to W'' . We know that $b \in W''$, hence $a' = M(b) \in U''$ and,
 341 obviously, $a' \neq a \notin U''$. Moreover, ab and $a'b$ are edges in G_A , thus both of them are
 342 active. Therefore, $a \sim_b a'$, for otherwise b would have rejected one of them. In order to
 343 keep M stable, a must be paired up in M with some woman b' . Since no stable edge has
 344 been rejected so far and ab does not block M , therefore $b' \sim_a b$, thus b' is in a 's proposal
 345 tie. Edge ab' is stable and no stable edge has been rejected yet, thus ab' is active along
 346 with ab . Therefore, $ab' \in E(G_A)$ and $b' \in W'$. Moreover, $ab' \in M$, hence $a \in U''$ and
 347 $b' \in W''$, which contradicts the assumption that $a \notin U''$. ◀



■ **Figure 1** The three cases in Claim 2. Gray edges are in M . The arrows point to the strictly preferred edges.

348 ▶ **Claim 3.** Women who have ever had an active edge must be matched in all stable matchings.

349 **Proof.** Claim 2 shows that stable matchings allocate each man u a partner not better than
 350 his final proposal tie. If a man u proposed to woman w and yet w is unmatched in the stable
 351 matching M , then uw blocks M , which contradicts the stability of M . ◀

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352 ▶ **Claim 4.** If our algorithm outputs a matching, then it is stable.

353 **Proof.** We need to show that any maximum matching M in G_A is stable, if it covers all
354 women who have ever held a proposal. Let M be such a matching. Due to the exit criteria
355 of the second phase (lines 11 and 12), M covers all men. By contradiction, let us assume
356 that M is blocked by an edge uw . This can occur in three cases.

357 ■ While w is unmatched, u does not prefer $M(u)$ to w .

358 Since uw carried a proposal at the same time or before $uM(u) \in E(G_A)$ was activated, w
359 is a woman who has held an offer during the course of the algorithm. We assumed that
360 all these women are matched in M .

361 ■ While $w \prec_u M(u)$, w does not prefer $M(w)$ to u .

362 The full tie at u containing uw must have been rejected in the algorithm, otherwise $uM(u)$
363 would not be an active edge. We know that either $u \prec_w M(w)$ or $u \sim_w M(w)$ holds. If
364 $u \prec_w M(w)$, then $wM(w)$ had to be rejected when u proposed to w , which contradicts
365 our assumption that $wM(w) \in E(G_A)$. Hence, $u \sim_w M(w)$. Thus, when uw and its full
366 tie was rejected at u , $M(w)w$ also should have been rejected in a STRONG_REJECT
367 procedure, which leads to the same contradiction with $wM(w) \in E(G_A)$.

368 ■ While $u \prec_w M(w)$, u does not prefer $M(u)$ to w .

369 Since $uM(u)$ is an active edge, uw has carried a proposal, because $M(u)$ is not preferred
370 to w by u . When uw was proposed along, w should have rejected $M(w)w$, to which uw
371 is strictly preferred. This contradicts our assumption that $wM(w) \in E(G_A)$. ◀

372 ▶ **Claim 5.** If \mathcal{I} admits a stable matching M' , then any maximum matching M in the final
373 G_A covers all women who have ever held a proposal.

374 **Proof.** From Claims 1 and 3 we know that M' covers all women who have ever held a
375 proposal and all men. It is also obvious that matching M found in line 17 covers all men,
376 for otherwise U' could not have been the empty set in line 12 and the execution would have
377 returned to the first phase. This means that $|M| = |M'|$. On the other hand, all women
378 covered by $M \subseteq E(G_A)$ are fit with active edges in G_A . Therefore, women covered by M
379 represent only a subset of women who have ever had an active edge, i.e. the women covered
380 by M' . In order to M and M' have the same cardinality, they must cover exactly the same
381 women. Thus, M covers all women who have ever received a proposal. ◀

382 ▶ **Corollary 6.** If \mathcal{I} admits a stable matching then our algorithm outputs one.

383 **Proof.** Since the edges between men and their dummy partners cannot be rejected, the
384 algorithm will proceed to line 17. Courtesy of Claim 5, the output M covers all women who
385 have ever received a proposal. According to Claim 4, this matching is stable, and thus we
386 output a stable matching of \mathcal{I} . ◀

387 5 Super-stability

388 In super-stability, an edge outside of M blocks M if *neither* of its end vertices *prefer* their
389 matching edge to it.

390 ▶ **Definition 7** (blocking edge for super-stability). *Edge uw blocks M , if*

- 391 1. $uw \notin M$;
- 392 2. $w \prec_u M(u)$ or $w \sim_u M(u)$;
- 393 3. $u \prec_w M(w)$ or $u \sim_w M(w)$.

394 The set of already investigated problems is most remarkable for super-stability, see Table 1.
 395 Up to posets on both sides, a polynomial algorithm is known to decide whether a stable
 396 solution exists [19, 29]. Even though it is not explicitly written there, a blocking edge in the
 397 super stable sense is identical to the definition of a blocking edge given in [11]. It is shown
 398 there that if one vertex class has strictly ordered preference lists and the other vertex class
 399 has arbitrary relations, then determining whether a stable solution exists is NP-complete,
 400 but if the second class has asymmetric lists, then the problem becomes tractable.

401 We first show that a polynomial algorithm exists up to partially ordered relations on one
 402 side and asymmetric relations on the other side. Our algorithm can be seen as an extension
 403 of the one in [11]. Our added contributions are a more sophisticated proposal routine and
 404 the condition on stability in the output. These are necessary as men are allowed to have
 405 acyclic preferences instead of strictly ordered lists, as in [11]. Finally, we prove that acyclic
 406 relations on both sides make the problem hard.

407 ► **Theorem 8.** *If one side has posets as preferences, while the other side has asymmetric*
 408 *pairwise preferences, then deciding whether the instance admits a super stable matching can*
 409 *be done in $\mathcal{O}(n^2m)$ time.*

410 We prove this theorem by designing an algorithm that produces a stable matching or a
 411 proof for its nonexistence, see Algorithm 3. We assume men to have posets as preferences
 412 and women to have asymmetric relations. We remark that non-empty posets always have a
 413 non-empty set of *maximal elements*: these are the ones that are not dominated by any other
 414 element. Women in the set of maximal elements are called *maximal* women.

415 At start, an arbitrary man proposes to one of his maximal women. An offer from u
 416 is temporarily accepted by w if and only if $u \prec_w u'$ for every man $u' \neq u$ who has ever
 417 proposed to w . This rule forces each woman to reproof her current match every time a new
 418 proposal arrives. Accepted offers are called *engagements*. The proposal edges or engagements
 419 not meeting the above requirement are immediately deleted from the graph. Each man
 420 then reexamines the poset of women still on his list. If any of the maximal women is not
 421 holding an offer from him, then he proposes to her. The process terminates and the output
 422 is generated when no man has maximal women he has not proposed to. Notice that while
 423 women hold at most one proposal at a time, men might have several engagements in the
 424 output.

425 The correctness and time complexity of our algorithm is shown in the Appendix, where
 426 we prove that the set of engagements M is a matching that covers all women who ever
 427 received a proposal if and only if the instance admits a stable matching.

428 ► **Theorem 9.** *If both sides have acyclic pairwise preferences, then determining whether*
 429 *a super stable matching exists is NP-complete, even if each agent finds at most four other*
 430 *agents acceptable.*

431 **6 Conclusion and open questions**

432 We completed the complexity study of the stable marriage problem with pairwise preferences.
 433 Despite of the integrity of this work, our approach opens the way to new research problems.

434 The six degrees of orderedness can be interpreted in the non-bipartite stable roommates
 435 problem as well. For strictly ordered preferences, all three notions of stability reduce to the
 436 classical stable roommates problem, which can be solved in $\mathcal{O}(m)$ time [18]. The weakly
 437 stable variant becomes NP-complete already if ties are present [32], while the strongly stable
 438 version can be solved with ties in polynomial time, but it is NP-complete for posets. The

Algorithm 3 Super stable matching with posets and asymmetric relations

Input: $\mathcal{I} = (U, W, E, \mathcal{R}_U, \mathcal{R}_W)$; \mathcal{R}_U : posets, \mathcal{R}_W : asymmetric.

```

35: while there is a man  $u$  who has not proposed to a maximal woman  $w$  do
36:    $u$  proposes to  $w$ 
37:   if  $u \prec_w u'$  for all  $u' \in U$  who has ever proposed to  $w$  then
38:      $w$  accepts the proposal of  $u$ ,  $uw$  becomes an engagement
39:   else
40:      $w$  rejects the proposal and deletes  $uw$ 
41:   end if
42:   if  $w$  had a previous engagement to  $u'$  and  $u \prec_w u'$  or  $u \sim_w u'$  then
43:      $w$  breaks the engagement to  $u'$  and deletes  $u'w$ 
44:   end if
45: end while

46: let  $M$  be the set of engagements
47: if  $M$  is a matching that covers all women who have ever received a proposal then
48:   STOP, OUTPUT  $M$  and “ $M$  is a super stable matching.”
49: else
50:   STOP, OUTPUT “There is no super stable matching.”
51: end if

```

439 complexity analysis of these cases is thus complete. Not so for super-stability, for which
440 there is an $\mathcal{O}(m)$ time algorithm for preferences ordered as posets [20], while the case with
441 asymmetric preferences was shown here to be NP-complete for bipartite instances as well.
442 We conjecture that the intermediate case of acyclic preferences is also polynomially solvable
443 and the algorithm of Irving and Manlove can be extended to it.

444 The Rural Hospitals Theorem [15] states that the set of matched vertices is identical in all
445 stable matchings. It has been shown to hold for strongly and super stable matchings [21, 28]
446 and fail for weak stability, if preferences contain ties—even for non-bipartite instances. We
447 remark that these results carry over even to the most general pairwise preference setting.
448 To see this, one only needs to sketch the usual alternating path argument: assume that
449 there is a vertex v that is covered by a stable matching M_1 , but left uncovered by another
450 stable matching M_2 . Then, $M_1(v)$ must strictly prefer its partner in M_2 to v , otherwise edge
451 $vM_1(v)$ blocks M_2 . Iterating this argument, we derive that such a v cannot exist. The Rural
452 Hospitals Theorem might indicate a rich underlying structure of the set of stable matchings.
453 Such results were shown in the case of preferences with ties. Strongly stable matchings are
454 known to form a distributive lattice [28], and there is a partial order with $\mathcal{O}(m)$ elements
455 representing all strongly stable matchings [26]. However, once posets are allowed in the
456 preferences, the lattice structure falls apart [28]. The set of super stable matchings has been
457 shown to form a distributive lattice if preferences are expressed in the form of posets [28, 33].
458 The questions arise naturally: does this distributive lattice structure carries over to more
459 advanced preference structures in the super stable case? Also, even if no distributive lattice
460 exists on the set of strongly stable matchings, is there any other structure and if so, how far
461 does it extend in terms of orderedness of preferences?

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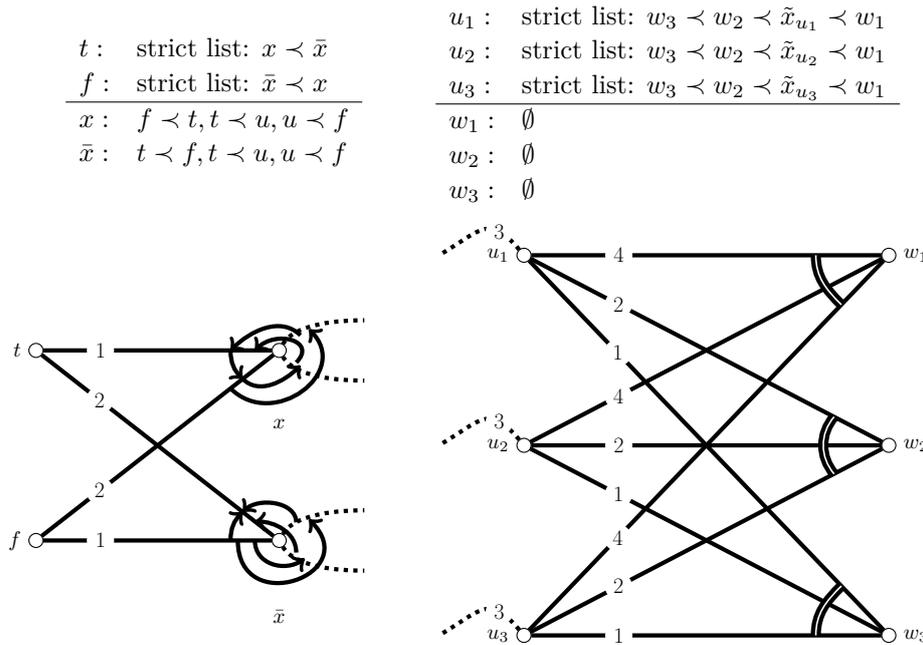
544 **Appendix**

545 **Weak stability**

546 ► **Theorem 4.** *If one side has strict lists, while the other side has asymmetric pairwise*
 547 *preferences, then determining whether a weakly stable matching exists is NP-complete, even*
 548 *if each agent finds at most four other agents acceptable.*

549 **Proof.** The NP-complete problem we reduce to our problem is (2,2)-E3-SAT [4]. Its input
 550 is a Boolean formula B in CNF, in which each clause comprises exactly 3 literals and each
 551 variable appears exactly twice in unnegated and exactly twice in negated form. The decision
 552 question is whether there exists a truth assignment satisfying B .

553 When constructing graph G to a given Boolean formula B , we keep track of the three
 554 literals in each clause and the two unnegated and two negated appearances of each variable.
 555 Each appearance is represented by an interconnecting edge, running between the correspond-
 556 ing variable and clause gadgets. The graphs underlying our gadgets resemble gadgets in
 557 earlier hardness proofs [7], but the preferences are designed specifically for our problem.
 558 Figure 2 illustrates our construction, in particular, the preference relations in it.



■ **Figure 2** A variable gadget to the left and a clause gadget to the right. Strict lists are to be found at t , f , and u -vertices, while the rest of the vertices have asymmetric relations. Interconnecting edges are dotted. The arrows point to the preferred edge, while double lines denote incomparability.

559 The variable gadget comprises a 4-cycle t, \bar{x}, f, x and four interconnecting edges, two
 560 of which are incident to x , and the remaining two are adjacent to \bar{x} . These four edges are
 561 connected to u -vertices in clause gadgets. In each variable gadget, x symbolizes the unnegated
 562 occurrences of the variable, while \bar{x} stands for the negated occurrences.

563 The clause gadget consists of a complete bipartite graph on six vertices, where one side is
 564 equipped with interconnecting edges. This side represents the three literals in the clause.

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565 Each interconnecting edge runs to vertex x or \bar{x} in the variable gadget of the occurring
566 unnegated or negated variable x .

567 ► **Claim 7.** If there is a weakly stable matching M in G , then there is a truth assignment
568 to B .

569 First we show that t and f must be matched in all stable matchings. If t is unmatched,
570 then both x and \bar{x} must be matched to a vertex to which t is not preferred. The only
571 such vertex is f , which leads to a contradiction with the matching property of M . If f is
572 unmatched, then neither x nor \bar{x} is allowed to be matched to t , which we just showed to be
573 impossible. Thus, any stable matching contains either $\{tx, f\bar{x}\}$ or $\{fx, t\bar{x}\}$ for each variable
574 gadget. We set a variable to be true if $\{tx, f\bar{x}\} \in M$ and to false if $\{fx, t\bar{x}\} \in M$.

575 Another consequence of M covering all t and f vertices, is that M contains no intercon-
576 necting edge. From this follows that M restricted to an arbitrary clause gadget must be a
577 perfect matching.

578 The preferences in the clause gadgets are set so that out of the three interconnecting
579 edges running to a clause gadget, exactly one dominates M at the clause gadget, namely
580 the edge incident to vertex u_i paired up with w_1 . We know that M is stable, therefore, this
581 dominating interconnecting edge must be dominated by its other end vertex. This is only
582 possible if the variable is set to true if the literal was unnegated, and to false if the literal
583 was in negated form. Thus, we have found a satisfied literal in each clause. ◀

584 ► **Claim 8.** If there is a truth assignment to B , then there is a stable matching M in G .

585 In each variable gadget belonging to a true variable, $\{tx, f\bar{x}\}$ is chosen, whereas all
586 gadgets corresponding to a false variable contribute the edges $\{fx, t\bar{x}\}$. In each clause, there
587 is at least one true literal. We match the vertex representing the appearance of this literal to
588 w_1 and match w_2 and w_3 arbitrarily.

589 No edge inside of a gadget blocks M , because it is a perfect matching inside each gadget
590 and the preferences are either cyclic (variable gadget), or one side is indifferent (clause gadget).
591 An interconnecting edge dominates M at the clause gadget if and only if it corresponds to
592 the chosen literal satisfying the clause. Our rules set exactly this literal to be satisfied in
593 the variable gadget, i.e. this literal is paired up with t , which is strictly preferred to the
594 corresponding interconnecting edge.

595 Strong stability

596 **Analysis and time complexity of Algorithms 1 and 2.** We suppose that G is repre-
597 sented by adjacency lists belonging to $|U| + |W| = n$ vertices and that there are $|E| = m$
598 acceptable edges. Since zero-degree vertices do not interfere with the existence or content of
599 stable matchings, it may be assumed that each vertex has at least one edge, which results in
600 $\max\{|U|, |W|\} \leq m$, hence $n = |U| + |W| \leq 2m$ and $n = \mathcal{O}(m)$. Relations in \mathcal{R}_U are lists
601 with ties, hence they can be incorporated into the adjacency lists by using a delimiter symbol
602 between ties. However, relations in \mathcal{R}_W are to be represented as general relations with at
603 most $\binom{|U|}{2} = \mathcal{O}(n^2)$ elements. The cost of the execution of the algorithm on an instance \mathcal{I} is
604 estimated by the number of accesses to the data structures representing neighbors of vertices
605 and the relations between them.

606 Firstly, a lower bound of the size of input is provided by the size of the graph, as usual.
607 Note that relations in \mathcal{R}_W may be empty sets, so this is a sharp lower bound. Hence, the
608 input size is $\Omega(n + m)$.

609 Secondly, non-trivial operations are to be committed on a data structure holding asym-
 610 metric relations. Our algorithm uses the following operation primitives: finding all men u'
 611 such that $u \prec u'$ with respect to R_w and rejecting $u'w$, finding edges incomparable to uw
 612 with respect to R_w and rejecting them. These primitives can take up as many as $\mathcal{O}(n^2)$ steps.
 613 Let us denote the maximum cost of any such primitive by ξ .

614 In order to decrease running time, all information regarding edges are to be maintained.
 615 More specifically, the state of an edge as being inactive, active or rejected is stored. Moreover,
 616 for every $u \in U$, we store the fact whether u has been a vertex because of which in Algorithm 2
 617 edges of type $u'w$ are rejected where $u' \sim_w u$. Reasonable work is spared if u plays the same
 618 role again later.

619 Now, adding dummy women to the list of men is done in $\mathcal{O}(n)$ time in total. Besides, each
 620 edge is proposed along at most once and proposals are to be done in order of the adjacency
 621 list of men, so the total cost of proposals is $\mathcal{O}(m)$. Furthermore, beware that for a given edge
 622 uw , rejecting edges $u'w$ to whom uw is strictly preferred, and rejecting incomparable edges
 623 $u'w$ are done at most once, each of them contributing a cost of ξ . The graph G_A need not
 624 be constructed separately, since active edges are marked due to our previous considerations.
 625 Subsequently, apart from finding maximal matchings and critical sets in G_A , the cost of our
 626 algorithm is bounded by $\mathcal{O}(n + m + 2m\xi) = \mathcal{O}(m\xi)$.

627 As far as maximum matchings and critical sets are concerned, the well-founded technique
 628 described by Irving [19] is reapplied here. As already stated previously, the critical set is
 629 calculated from a maximum matching by taking the uncovered men and all men reachable from
 630 the uncovered men via an alternating path. The standard algorithm for determining maximum
 631 matchings launches parallel BFS-algorithms from uncovered men to find augmenting paths.
 632 An interesting property of the execution is that whenever it finishes—because no alternating
 633 path was augmenting,—the critical set is computed as well. Therefore critical sets are
 634 automatically yielded with the use of the Hungarian method, for which one only needs to
 635 store the occurring vertices.

636 Although we could apply the Hungarian method in each execution of the second phase, we
 637 wish to reduce the cost of execution by storing information from previous iterations. Note that
 638 the Hungarian method commences from an arbitrary matching and augments that one. Let
 639 the augmentation start from the remnants of the maximum matching found in the previous
 640 iteration. Let $M_i, C_i, x_i, (i \geq 1)$ denote the maximum matching found in the i^{th} iteration
 641 of the second phase, the critical set with respect to M_i , and the number of edges rejected
 642 between the i^{th} and $(i + 1)^{\text{th}}$ execution of the Hungarian method, respectively. In the first
 643 iteration the augmenting path algorithm is executed from scratch taking $\mathcal{O}(|U|m) = \mathcal{O}(nm)$
 644 time. After the i^{th} iteration we reject x_i edges. Since each man in C_i had at least one
 645 edge in G_A , at least $(|U| - |C_i|) - (x_i - |C_i|) = |U| - x_i$ men are still paired to women
 646 via active edges, if that number is positive. In that case, the $(i + 1)^{\text{th}}$ iteration starts
 647 BFS-algorithms from x_i vertices. Let L be the total number of iterations, in k of which
 648 $x_i \geq |U|$, i.e. the augmenting path algorithm is run from scratch. The time complexity,
 649 therefore, is $\mathcal{O}(nm + kmn + m \sum_{L-k \text{ iter}} x_i)$, where the summation is done for the rest of
 650 x_i 's corresponding to the remaining $L - k$ iterations. The time complexity, in the other
 651 k iterations $n \leq x_i$, therefore $kn + \sum_{L-k \text{ iter}} x_i \leq \sum_{i=1}^L x_i \leq m$, because not more than
 652 m edges may be rejected and no edge is rejected more than once. Hence the running
 653 time related to maximum matchings and critical sets is $\mathcal{O}(nm + m \cdot (kn + \sum_{L-k \text{ iter}} x_i)) =$
 654 $\mathcal{O}(nm + m \cdot m) = \mathcal{O}(m^2)$.

655 In conclusion, the total time complexity of the algorithm is $\mathcal{O}(m\xi + m^2) = \mathcal{O}(mn^2 + m^2)$,
 656 while the size of the input is $\Omega(n + m)$.

657 **Super-stability**

658 ► **Theorem 10.** *The output of Algorithm 3 is a matching that covers all women who ever*
 659 *received a proposal if and only if the instance admits a stable matching.*

660 ► **Claim 9.** *If the output of the algorithm is a matching that covers all women who ever*
 661 *received a proposal, then it is stable.*

662 **Proof.** Assume that an edge uw blocks the output matching M . We investigate two cases.

663 ■ **Man u has proposed to w .**

664 We know that w got engaged to a man $M(w)$, for whom $M(w) \prec_w u$ holds. This
 665 contradicts our assumption on uw being a blocking edge.

666 ■ **Man u has not proposed to w .**

667 There must be an edge uw' not deleted so that $w' \prec_u w$. For uw blocks M , $w' \neq M(u)$,
 668 thus uw' has not been proposed along. Therefore, there is another edge uw'' not yet deleted
 669 so that $w'' \prec_u w' \prec_u M(u)$. Due to the transitivity of relations on the men's side and the
 670 finiteness of the vertex set, the iteration of this argument leads to a contradiction. ◀

671 The opposite direction we prove in Claims 10 to 13.

672 ► **Claim 10.** *If an edge was deleted in the algorithm, then no stable matching contains it.*

673 **Proof.** Let uw be the first edge deleted by the algorithm even though it is part of a stable
 674 matching S . The reason of the deletion was that w received an offer from u' for which
 675 $u' \prec_w u$ or $u' \sim_w u$. Since $u'w \notin S$ does not block S , u' is matched in S and $S(u') \prec_{u'} w$.
 676 Due to the monotonicity of proposals, u' had proposed to $S(u')$ before proposing to w , but
 677 $u'S(u')$ was deleted. This contradicts our assumption on uw being the first deleted stable
 678 edge. ◀

679 ► **Claim 11.** *If a woman w has ever received a proposal in our algorithm, then w must be*
 680 *matched in all stable matchings.*

681 **Proof.** Assume that uw carried a proposal at some point, yet w is unmatched in a stable
 682 matching S . In order to stop uw from blocking S , u is matched in S and $S(u) \prec_u w$. This
 683 implies that $uS(u)$ was deleted before the proposal along uw could be sent, which contradicts
 684 Claim 10. ◀

685 ► **Claim 12.** *If there is a stable matching S , then the set of engagements M computed in*
 686 *line 46 covers all women who have ever received a proposal.*

687 **Proof.** Assume that woman w has received a proposal, but she is not covered in M . Claim 11
 688 shows that w is matched in S , while Claim 10 proves that $uw \in S$ was not proposed along.
 689 The latter implies that u has at least one engagement edge in M . For the same reason, w
 690 is not preferred to $M(u)$ by u for all $uM(u) \in M$. To stop $uM(u)$ from blocking S , $M(u)$
 691 must have a partner in S who is preferred to u . This edge obviously never carried a proposal,
 692 otherwise $uM(u)$ could not be in M . We iterate this argument until the cycle closes. This
 693 cannot happen 1) at an S -edge running to an already visited vertex in U , because S is a
 694 matching; 2) at an M -edge running to an already visited vertex in $W \setminus w$, because women
 695 keep at most one proposal edge; 3) at w , because w is unmatched in M . In all cases, we
 696 arrived to a contradiction. ◀

697 ► **Claim 13.** *If there is a stable matching S , then the set of engagements M computed in*
 698 *line 46 is a matching.*

699 **Proof.** As already mentioned, the only reason for M not being a matching is that a man
 700 u has more than one edges in M . Since S is a matching, not all of these are in S . Let us
 701 denote an arbitrary edge of u in $M \setminus S$ by uw . uw is an engagement and no stable edges are
 702 deleted, therefore $M(u)$ (either a woman or \emptyset) is not preferred to w . Thus, from the stability
 703 of S , w must have a strictly preferred edge in S . Moreover, we also know that $u_1 = S(w)$
 704 has never proposed to w , otherwise uw could not be in M . So there exists a maximal woman
 705 $w_1 \in M(u_1)$ such that, $w_1 \prec_{u_1} w$.

706 Due to analogous arguments, this preference path must continue. Since the graph has
 707 a finite number of edges, it must return to a vertex already visited. This recurring vertex
 708 cannot be in $U \setminus u$, because no vertex in U has more than one edge in S and similarly, it
 709 cannot be a vertex in W , because no woman has more than one edge in M . The only option
 710 therefore is that the cycle closes at u . In this case, $uS(u) \notin M$, thus M must have another
 711 edge in $M \setminus S$, because there are at least two edges in M incident to u . Repeating the same
 712 deductions, we arrive to another augmenting path that ends in a cycle at u via another edge
 713 from S . This contradicts the fact that S is a matching. ◀

714 **Analysis and time complexity of Algorithm 3** We use a similar data structure to
 715 the one applied in the analysis of Algorithms 1 and 2. The difference emerges from the poset
 716 preference structure on one side. We store the entire partial order for each man, given as a
 717 Hasse-diagram of the underlying directed acyclic graph of the poset, equipped with a dummy
 718 woman, from whom there is a directed edge to all initially maximal women. The cost of the
 719 execution is again grasped by the number of accesses to these data structures.

720 Since relations can be empty as well, the size of the input is analogously lower bounded
 721 by $\Omega(n + m)$. The assumption of Hasse-diagrams allows a straightforward check whether
 722 all maximal women have been proposed to. The initial maximal set is the women directly
 723 connected to the dummy woman. Each time a woman w turns down a proposal, the
 724 candidates of being promoted to maximal state are the women directly connected to w in
 725 the Hasse-diagram. Therefore the cost of submitting proposals does not exceed $\mathcal{O}(m)$. The
 726 rest of the while loop, from lines 37 to 43, concerns the asymmetric relations on the woman's
 727 side. One needs to iterate through the relations belonging to the woman in question and
 728 check whether the new proposal is strictly preferred to all previous proposals, and whether
 729 the previous engager is strictly preferred to the new one. This operation primitive has cost
 730 $\xi = \mathcal{O}(n^2)$. It is also remarked that, although we “delete” rejected proposal edges, in reality
 731 they could simply be marked as rejected. Then, checking previous proposals is meaningful
 732 again. Last but not least, the computation of M and the examination of the output condition
 733 can be done in $\mathcal{O}(m)$ time, because engagements are marked anyway. Consequently, the
 734 time complexity of the algorithm is $\mathcal{O}(m \cdot \xi) + \mathcal{O}(m) = \mathcal{O}(n^2m)$.

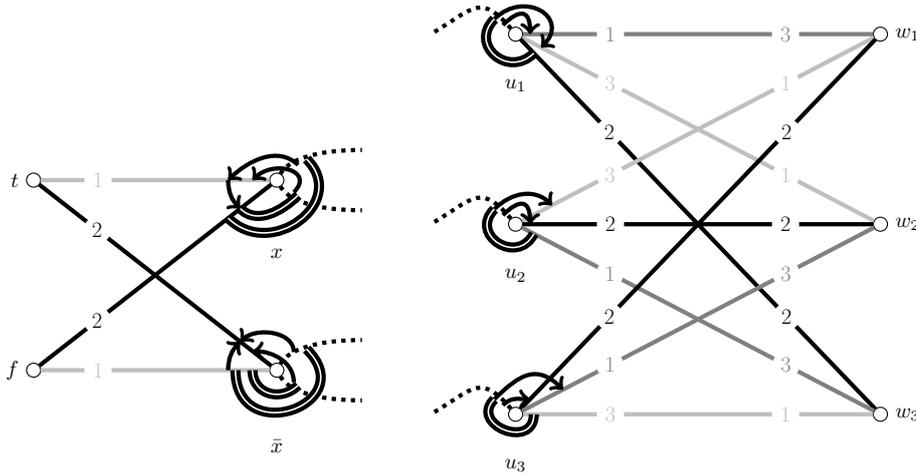
735 ▶ **Theorem 9.** *If both sides have acyclic pairwise preferences, then determining whether*
 736 *a super stable matching exists is NP-complete, even if each agent finds at most four other*
 737 *agents acceptable.*

738 **Proof.** The NP-complete problem we reduce to our problem is again (2,2)-E3-SAT [4]. Our
 739 construction follows the same logic as the one in the proof of Theorem 4, however, the
 740 preferences are set differently, see Figure 3.

741 ▶ **Claim 14.** If there is a truth assignment to B , then there is a super stable matching in G .

742 In each variable gadget belonging to a true variable, $\{tx, f\bar{x}\}$ is chosen, whereas all
 743 gadgets corresponding to a false variable contribute matching $\{fx, t\bar{x}\}$. In each clause, there
 744 is at least one true literal. The vertex representing the appearance of this literal is matched

	u_1 :	$w_1 \prec x, w_2 \prec x, x \sim w_3$; strict list: $w_1 \prec w_3 \prec w_2$	
t :	strict list:	$x \prec \bar{x}$	
f :	strict list:	$\bar{x} \prec x$	
x :	$f \prec t, t \prec u, u \sim f$	w_1 :	strict list: $u_2 \prec u_3 \prec u_1$
\bar{x} :	$t \prec f, t \prec u, u \sim f$	w_2 :	strict list: $u_1 \prec u_2 \prec u_3$
		w_3 :	strict list: $u_3 \prec u_1 \prec u_2$



■ **Figure 3** A variable gadget to the left and a clause gadget to the right. Interconnecting edges are dotted. The arrows point to the preferred edge, while double lines denote incomparability.

745 to w_3 in the clause gadget, while the remaining four vertices are coupled up in such a
 746 way that no edge inside of the gadget blocks. This is possible, because $\{u_1w_3, u_2w_2, u_3w_1\}$,
 747 $\{u_1w_1, u_2w_3, u_3w_2\}$, and $\{u_1w_2, u_2w_1, u_3w_3\}$ are all stable matchings. The reason why the
 748 literal satisfying the clause was chosen to be matched to w_3 is that its interconnecting edge
 749 is incomparable to the matching edge on the variable side and thus it does not block M .
 750 Due to the strict preferences inside gadgets, it is easy to check that no other edge blocks the
 751 constructed matching.

752 ► **Claim 15.** If there is a super stable matching M in G , then there is a truth assignment
 753 to B .

754 If either t or f is unmatched in M , then at least one of their x and \bar{x} vertices is either
 755 unmatched or it is matched along an interconnecting edge. In both cases, this vertex
 756 has a blocking edge leading to the unmatched t or f . With this we have already shown
 757 three statements: **1.** for each variable gadget, either $\{tx, f\bar{x}\} \in M$ or $\{fx, t\bar{x}\} \in M$; **2.** no
 758 interconnecting edge is in M ; **3.** M is perfect in each clause gadget. In each clause gadget,
 759 exactly two u -vertices are matched to partners strictly preferred to their interconnecting edge.
 760 Therefore, each clause gadget has exactly one interconnecting edge that is incomparable to
 761 the edge in M at the clause gadget. In order to ensure stability, this edge must be dominated
 762 by M at its variable gadget. This only happens if the corresponding literal is satisfied in the
 763 truth assignment. With this we have proved that each clause is satisfied. ◀